

Application number: 10/614,919

Art Unit: 3691

Applicant: Khai Hee Kwan

Examiner: Chuks Onyezia, Esq.

Title: System and method for conducting an electronic financial asset deposit auction over computer network.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TO: Commissioner for Patents

Virginia 22313-1450

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Dear Sir,

RE: CLARIFICATION – TYPO & AGREEMENT ?

10 I refer to the Final Rejection dated Sept 14 2010.

I notice that in the Office Action Summary page at point 1 (status) , it says responsive to communication filed on 05/12/2009 while in the Detailed Action page 2, at para 1, it says submission filed on 05/13/2009 and at para 2, Applicant's amendments and arguments
15 filed on 05/13/2009. From the claims assessed by the examiner, they appear to be from the 05/12/2009 filing. I am wary that there are 2 communications filed on 5/12/2009 and 5/13/2009 (the latter is supposed to supersede the earlier due). In this respect, I have tried to contact the examiner and his supervisor from 29 Sept -1 Oct by phone and emails to seek clarification for the above, without success.

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Given the difference between the two communications is minor, I proposed to use the 05/12/2009 communication as the examiner had apparently assessed the claims and presumably entered them (despite saying this is the 05/13/2009). For convenience only, I am suggesting this to avoid inordinate delay since the assessment is quite similar to the
25 previous final rejection dated Jul 9, 2008. As I am going to file an appeal in any event, I would like to obtain an agreement with the examiner on this as soon as possible to avoid having any issues with the appeal later.

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I also notice that the Art Unit has been changed to 3691 (from 3692 previously).

For quick response, please use my email – KHKWAN@YAHOO.COM or
chris@advocatesolicitor.com

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Thank you.

Yours truly,

A handwritten signature in black ink, appearing to be 'KH Kwan', with a long horizontal stroke extending to the right.

10

K H KWAN
Applicant
023336
OCT 3, 2010

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